Case 5:07-cv-03798-JW Document 40-12 Filed 02/01/2008 Page 1 of 13

Exhibit 11

| 1 2 3 4 5 6 7 | SHIRLI F. WEISS, Bar No. 79225 shirli.weiss@dlapiper.com DAVID A. PRIEBE, Bar No. 148679 david.priebe@dlapiper.com JEFFREY B. COOPERSMITH (Admitted pro hac vice) jeff.coopersmith@dlapiper.com DLA PIPER US LLP 400 Capitol Mall, Suite 2400 Sacramento, CA 95814-4428 Tel: 916.930.3200 Fax: 916.930.3201 Attorneys for Defendant Kenneth L. Schroeder | | | |
|---------------------------------|--|--|--|--|
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| 10 | UNITED STATES DISTRICT COURT | | | |
| 11 | NORTHERN DISTRICT OF CALIFORNIA | | | |
| 12 | SAN JO | OSE DIVISION | | |
| 13 | SECURITIES AND EXCHANGE | Case No. C-07-3798-JW | | |
| 14 | COMMISSION, | NOTICE OF SUBPOENA FOR RECORDS | | |
| 15 | Plaintiff, | TO SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP | | |
| 16 | V. | | | |
| 17 | KENNETH L. SCHROEDER, | | | |
| 18 | Defendant. | | | |
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| 28 | NOTICE OF SUBBODIA POR REGORDS TO STA | | | |
| DLA PIPER US I,LP | NOTICE OF SUBPOENA FOR RECORDS TO SKA CASE NO. C-07-3798-JW | dden, arp3, 5la i e, meaghek & flum llp | | |

TO ALL PARTIES AND TO THEIR ATTORNEY OF RECORD:

PLEASE TAKE NOTICE that pursuant to Rule 45 of the Federal Rules of Civil Procedure, Defendant Kenneth L. Schroeder ("Defendant"), by his attorneys, demands that Skadden, Arps, Slate, Meagher & Flom LLP respond to the demands for production of documents and things identified in Attachment A to the attached subpoena by producing the requested documents on or before November 27, 2007, at 9:00 a.m. at the offices of DLA Piper US LLP, located at 2000 University Avenue, East Palo Alto, CA 94303-2214; Telephone: (650) 833-2000.

By

Dated: November 12, 2007 DLA PIPER US LLP

15 SD\1774345.1

DLA PIPER US LLP

DAVID A. PRIEBE

JEFFREY B. COOPERSMITH

Attorneys for Defendant Kenneth L. Schroeder

NOTICE OF SUBPOENA FOR RECORDS TO SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP CASE NO. C-07-3798-JW

► AO88 (Rev. 12/06) Subpoena in a Civil Case

Issued by the UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

| SECURITIES AND EXCHANGE COMMISSION V. | SUBPOENA IN | A CIVIL CASE | |
|--|--|---|--|
| KENNETH L. SCHROEDER | Case Number: 1 C-07-3798-JW | | |
| TO: Custodian of Records: Skadden, Arps, S Center, Suite 3800, San Francisco, CA | late, Meagher & Flom, 1 94111; (415) 984-6400 | LLP, Four Embarcadero | |
| YOU ARE COMMANDED to appear in the United St to testify in the above case. | ates District court at the place | e, date, and time specified below | |
| PLACE OF TESTIMONY | · · · · · · · · · · · · · · · · · · · | COURTROOM | |
| | | | |
| | | DATE AND TIME | |
| YOU ARE COMMANDED to appear at the place, date, in the above case. | and time specified below to te | stify at the taking of a deposition | |
| PLACE OF DEPOSITION | | DATE AND TIME | |
| YOU ARE COMMANDED to produce and permit in the place, date, and time specified below (list documen SEE ATTACHMENT A | | | |
| PLACE DLA Piper US LLP, 2000 University Avenue, East Palo Alto, C. | A 94303-2214; (650) 833-2000 | DATE AND TIME November 27, 2007; 9:00 a.m. | |
| YOU ARE COMMANDED to permit inspection of th | e following premises at the da | ate and time specified below. | |
| PREMISES | · · · · · · · · · · · · · · · · · · · | DATE AND TIME | |
| Any organization not a party to this suit that is subpoer officers, directors, or managing agents, or other persons who consermatters on which the person will testify. Federal Rules of Civil Procedure | nt to testify on its behalf, and may s | | |
| ISSUING OFFICER'S SIGNATURE AND TITLE (INDICATE IF ATTORNEY Attorney for Kenn | | DATE November 12, 2007 | |
| ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMBER Shirli F. Weiss, DLA Piper US LLP, 401 B Street, Suite 1700, S | | 3650 | |
| (San Bulle of States) Wales of Child Bennedian S | | | |

¹ If action is pending in district other than district of issuance, state district under case number.

ATTACHMENT A TO SUBPOENA TO SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP

SEC v. Schroeder

DEFINITIONS

- 1. "YOU" or "YOUR" or "SKADDEN" shall mean and refer to the law firm of Skadden, Arps, Slate, Meagher & Flom LLP.
 - 2. "SCHROEDER" shall mean and refer to Defendant Kenneth L. Schroeder.
- 3. "KLA-TENCOR" or "THE COMPANY" shall mean and refer to KLA-Tencor Corporation and its predecessor corporations (including KLA Instruments and Tencor Instruments), including its agents, trustees, custodians, affiliates, attorneys, accountants, representatives, consultants, or other persons acting under its direction or control.
- 4. "COMMUNICATION(S)" shall mean the exchange of information by any means, including without limitation telephone, telecopy, facsimile, electronic mail, text message, or other electronic medium, letter, memorandum, notes or other writing method, meeting, discussion, conversation or other form of verbal expression.
- 5. "CONCERN" or "CONCERNING" shall mean relating to, referring to, describing, discussing, memorializing, reflecting, containing, evidencing or constituting.
- 6. "DOCUMENT(S)" shall mean and include all "writings" as "writings" is defined in the Federal Rules of Evidence, Rule 1001(1), as well as all writings of any nature whatsoever within YOUR possession, custody or control, including but not limited to, contracts, agreements, COMMUNICATIONS, correspondence, telegrams, electronic mail, memoranda, records, reports, books, summaries, power point presentations, records of telephone conversations, summaries or records of personal conversations or interviews, diaries, calendars, forecasts, statistical statements, billing records, work papers, drafts, copies, graphs, accounts, analytical records, minutes and other records of meetings, conferences, consultant reports, appraisals, reports or summaries of negotiations, brochures, pamphlets, circulars, trade letters, press releases, notes, personal notes, handwritten notes, marginal notations, bills, invoices, checks, photographs, brochures, lists, journals, advertising, computer tapes, disks, or other computer storage media,

 1ATTACHMENT A TO SUBPOENA TO SKADDEN ARPS, SLATE, MEAGHER & FLOM LLP C07-3798-JW

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electronic or magnetic storage media, and all other matter, printed, recorded or photographic matter or sound reproductions, including video and audio tapes, however produced or reproduced.

- 7. "DOJ" shall mean and refer to the United States Department of Justice, including its FBI agents or other custodians, attorneys, expert consultants, paralegals, representatives, or other persons acting under the United States Department of Justice's direction or control.
- 8. "LECG" shall mean and refer to LECG, LLC, including its employers, agents, custodians, representatives, or other persons acting under LECG's direction or control.
- "MORGAN LEWIS" shall mean and refer to the law firm of Morgan Lewis & 9. Bockius, LLP.
- 10. "NASDAQ" shall mean and refer to the NASDAQ stock exchange, including its agents, custodians, attorneys, expert consultants, representatives, or other persons acting under the NASDAQ's direction or control.
- 11. "PWC" shall mean and refer to PricewaterhouseCoopers International Limited, including its member firms, predecessor firms, and their agents, custodians, attorneys, expert consultants, representatives, or other persons acting under their direction or control.
- 12. "RESTATEMENT" shall mean and refer to the Restatement announced in THE COMPANY's Form 10-K filed with the Securities & Exchange Commission on January 29, 2007.
- "SEC" shall mean and refer to the United States Securities and Exchange 13. Commission, including its agents, custodians, attorneys, paralegals, expert consultants, representatives, or other persons acting under the United States Securities and Exchange Commission's direction or control.
- "SLC INVESTIGATION" shall mean and refer to the investigation conducted by 14. the SPECIAL LITIGATION COMMITTEE, its legal counsel and other advisors concerning possible claims relating to KLA-TENCOR's historical stock option practices.
- "SPECIAL COMMITTEE" shall mean and refer to the Special Committee of 15. KLA-TENCOR's Board of Directors appointed on or about May 23, 2006 to investigate THE COMPANY's historical stock option granting practices.

ATTACHMENT A TO SUBPOENA TO SKADDEN ARPS, SLATE, MEAGHER & FLOM LLP C07-3798-JW

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| 16. | "SPECIAL LITIGA | TION COMMITTEE | 'shall mean | and refer to | the Special |
|---------------|-------------------------|------------------|---------------|----------------|--------------|
| Litigation (| Committee appointed | by KLA-TENCOR's | Board of l | Directors to | conduct an |
| investigation | n of the possible claim | ns of KLA-TENCOR | relating to i | its historical | stock option |
| practices. | | | | | |

"SPECIAL COMMITTEE INVESTIGATION" shall mean and refer to the internal 17. investigation (including any follow-up activities) conducted by the SPECIAL COMMITTEE and its legal counsel, accounting experts, consultants, and other advisors, which investigation was initiated by KLA-TENCOR's Board of Directors on or about May 23, 2006 to examine the historical stock option practices of THE COMPANY.

INSTRUCTIONS

- If any DOCUMENTS described in these Demands are not produced as demanded, 1. then with respect to each such DOCUMENT please state:
- The name, present or last known address, telephone number, present or last (a) known business affiliation and location, job title and job responsibilities of the DOCUMENT's author, drafter or originator, each PERSON who edited, corrected, revised, amended or reviewed the DOCUMENT, each PERSON who entered any initials, comments or notations into the DOCUMENT, and each recipient of the DOCUMENT or a copy thereof;
 - The DOCUMENT date; (b)
- A description of the DOCUMENT's subject matter or general nature (i.e., (c) opinion of counsel, report, letter):
 - The basis for not producing the DOCUMENT or a copy thereof; and (d)
- The present location of the DOCUMENT and every copy thereof, and the (e) name of the PERSON in each location having custody or control of the DOCUMENT or a copy.
- This Demand for production requires that the DOCUMENTS be produced in the 2. same form and in the same order in which they existed prior to production.
- This Demand for production is a continuing demand for all DOCUMENTS which 3. are described herein which may hereafter come into YOUR possession, custody, or control.

ATTACHMENT A TO SUBPOENA TO SKADDEN ARPS, SLATE, MEAGHER & FLOM LLP

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- 4. In the event that any DOCUMENTS referred to in YOUR response are not in YOUR possession, custody, or control, please specify what disposition was made of them and by whom the DOCUMENTS are now possessed, held in custody, or controlled.
- 5. Wherever it is necessary to bring within the scope of these Demands DOCUMENTS that might otherwise be construed to be outside its scope:
- (a) The words "and" and "or" shall be construed both disjunctively and conjunctively;
 - (b) The words "any" and "all" shall be construed to mean "any and all;"
 - (c) The singular shall include the plural, and vice versa; and
- (d) The words "include(s)" and "including" shall be construed to mean "including without limitation."
- 6. These Demands require that YOU make available for inspection and copying each responsive DOCUMENT or tangible thing that is within YOUR actual or constructive possession, custody, care or control, including DOCUMENTS that YOU have a right to secure from any other source. These sources include, but are not limited to, YOUR agents, attorneys, accountants, consultants, advisors or other PERSONS acting or purporting to act on YOUR behalf.
- 7. Each category of DOCUMENTS in these Demands seeks production of each responsive DOCUMENT in its entirety, without abbreviation or expurgation, including all attachments or other matters affixed thereto.
- If a portion of an otherwise responsive DOCUMENT contains information subject 8. to a claim of privilege, only those portions of the DOCUMENT subject to the claim of privilege shall be deleted or redacted from the DOCUMENT, and the rest of the DOCUMENT shall be produced.
- Any DOCUMENT responsive to any category of these Demands that was, but no 9, longer is, in YOUR possession, custody or control, whether actual or constructive, shall be described as completely as possible, and the following information shall be provided:
- The manner of disposal, including destruction, loss, discarding, or any (a) other means of disposal;

| 1 | (b) The date of disposal; | | |
|------------------|--|--|--|
| 2 | (c) The reason for disposal; | | |
| 3 | (d) The PERSON authorizing disposal; | | |
| 4 | (e) The PERSON disposing of the DOCUMENT; and | | |
| 5 | (f) The name and address of the most recent custodian of the DOCUMENT. | | |
| 6 | 10. Time Period of Demand: Unless otherwise specified, the time period covered by | | |
| 7 | these Demands is May 1, 2006 through the present. | | |
| 8 | DEMAND FOR PRODUCTION OF DOCUMENTS AND THINGS | | |
| 9 | PRODUCTION DEMAND NO. 1 | | |
| 10 | All DOCUMENTS CONCERNING the SPECIAL COMMITTEE INVESTIGATION | | |
| 11 | and/or RESTATEMENT which YOU transmitted to, read from (in whole or in part), summarized | | |
| 12 | presented to or received from the SEC. | | |
| 13 | PRODUCTION DEMAND NO. 2 | | |
| 14 | All DOCUMENTS CONCERNING the SPECIAL COMMITTEE INVESTIGATION | | |
| 15 | and/or RESTATEMENT which YOU transmitted to, read from (in whole or in part), summarized | | |
| 16 | presented to or received from the DOJ. | | |
| 17 | PRODUCTION DEMAND NO. 3 | | |
| 18 | All DOCUMENTS CONCERNING the SPECIAL COMMITTEE INVESTIGATION | | |
| 19 | and/or RESTATEMENT which YOU transmitted to, read from (in whole or in part), summarized | | |
| 20 | presented to or received from the NASDAQ. | | |
| 21 | PRODUCTION DEMAND NO. 4 | | |
| 22 | All DOCUMENTS CONCERNING the SPECIAL COMMITTEE INVESTIGATION | | |
| 23 | and/or RESTATEMENT which YOU transmitted to, read from (in whole or in part), summarized | | |
| 24 25 | presented to or received from PWC. | | |
| 26 | PRODUCTION DEMAND NO. 5 | | |
| 27 | All DOCUMENTS CONCERNING the SPECIAL COMMITTEE INVESTIGATION | | |
| 28 | and/or RESTATEMENT which YOU transmitted to, read from (in whole or in part), summarized | | |
| DLA PIPER US LLP | -5- ATTACHMENT A TO SUBPOENA TO SKADDEN ARPS, SLATE, MEAGHER & FLOM LLP C07-3798-JW | | |

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presented to or received from LECG.

PRODUCTION DEMAND NO. 6

All DOCUMENTS CONCERNING the SPECIAL COMMITTEE INVESTIGATION and/or RESTATEMENT which YOU transmitted to, read from (in whole or in part), summarized, presented to or received from MORGAN LEWIS.

PRODUCTION DEMAND NO. 7

All DOCUMENTS CONCERNING notes and/or memoranda of witness interviews WHICH YOU conducted on behalf of the SPECIAL COMMITTEE, including but not limited to: (1) YOUR handwritten notes; (2) all drafts of interview memoranda, whether dictated, handwritten, typed or otherwise memorialized; (3) the metadata of any electronically created and edited electronic DOCUMENTS showing when they were edited; (4) all exhibits or other DOCUMENTS referenced in any such memoranda or notes; and (5) all DOCUMENTS showing edits of such memoranda or notes.

PRODUCTION DEMAND NO. 8

YOUR calendars and billing time records which reference or describe the dates, length and content of: (1) witness interviews YOU attended conducted by the SPECIAL COMMITTEE or SKADDEN; (2) meetings or telephone calls YOU attended with any agency of the government CONCERNING the SPECIAL COMMITTEE INVESTIGATION; (3) meetings or telephone calls YOU attended with PWC CONCERNING the SPECIAL COMMITTEE INVESTIGATION and/or RESTATEMENT; (4) meetings or telephone calls YOU attended with LECG CONCERNING the SPECIAL COMMITTEE INVESTIGATION and/or RESTATEMENT; (5) meetings YOU attended with the NASDAQ CONCERNING the SPECIAL COMMITTEE INVESTIGATION and/or RESTATEMENT; (6) time YOU spent on the SPECIAL COMMITTEE INVESTIGATION and/or RESTATEMENT other than that specified in (1) through (5) above.

PRODUCTION DEMAND NO. 9

All DOCUMENTS summarizing any interactions which YOU attended with -6-ATTACHMENT A TO SUBPOENA TO SKADDEN ARPS, SLATE, MEAGHER & FLOM LLP C07-3798-JW

representatives of the SEC, DOJ and/or NASDAQ concerning the SPECIAL COMMITTEE INVESTIGATION and/or RESTATEMENT including notes or memoranda of any such interaction and any presentations that YOU made therein.

PRODUCTION DEMAND NO. 10

All DOCUMENTS CONCERNING statements which YOU transmitted, read from (in whole or in part), summarized or presented to, or received from, any member of the press or news media or made to attendees at any seminars, CONCERNING the SPECIAL COMMITTEE INVESTIGATION and/or SCHROEDER.

PRODUCTION DEMAND NO. 11

All DOCUMENTS CONCERNING the COMPANY'S SEC filings that refer to or discuss the SPECIAL COMMITTEE INVESTIGATION and/or RESTATEMENT, including drafts which YOU prepared, edited or commented upon.

PRODUCTION DEMAND NO. 12

All DOCUMENTS CONCERNING the SLC INVESTIGATION which YOU transmitted to, read from (in whole or in part), summarized, presented to or received from any governmental agency.

PRODUCTION DEMAND NO. 13

All DOCUMENTS CONCERNING the SLC INVESTIGATION which YOU transmitted to, read from (in whole or in part), summarized, presented to or received from, PWC.

PRODUCTION DEMAND NO. 14

All DOCUMENTS CONCERNING the SPECIAL COMMITTEE INVESTIGATION which YOU created or edited, which related to the findings of the SPECIAL COMMITTEE.

PRODUCTION DEMAND NO. 15

All DOCUMENTS CONCERNING meetings and/or telephone calls which YOU had with representatives of the press or other news media CONCERNING SCHROEDER, the SPECIAL COMMITTEE INVESTIGATION and/or the SLC INVESTIGATION, including billing records and calendar entries showing any such meetings or telephone calls.

ATTACHMENT A TO SUBPOENA TO SKADDEN ARPS, SLATE, MEAGHER & FLOM LLP C07-3798-JW

DLA PIPER US LLP

PRODUCTION DEMAND NO. 16

All DOCUMENTS CONCERNING any investigation CONCERNING SCHROEDER or KLA-TENCOR's historical stock option practices (other than the SPECIAL COMMITTEE INVESTIGATION, RESTATEMENT, and SLC INVESTIGATION) which YOU gave, read from (in whole or in part), summarized, presented to or received from any of the following entities: SEC, DOJ, NASDAQ or news media.

PRODUCTION DEMAND NO. 17

All DOCUMENTS that relate to any ownership interest in KLA-TENCOR securities held during the time period May 1, 2006 to the present by any SKADDEN attorney(s) who worked on the SPECIAL COMMITTEE INVESTIGATION.

Dated: November 12, 2007.

DLA PIPER US LLP

В

SHIRLI F. WEISS DAVID A. PRIEBE

JEFFREY B. COOPERSMITH Attorneys for Defendant Kenneth L. Schroeder

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ATTACHMENT A TO SUBPOENA TO SKADDEN ARPS, SLATE, MEAGHER & FLOM LLP C07-3798-JW

1 PROOF OF SERVICE 2 I am employed in the City and County of San Diego, State of California in the office of a member of the bar of this court at whose direction the following service was made. I am over the 3 age of eighteen years and not a party to the within-entitled action. My business address is DLA Piper US LLP, 401 B Street, Suite 1700, San Diego, California 92101-4297. 4 On November 12, 2007, I served the following document(s): 5 NOTICE OF SUBPOENA FOR RECORDS TO SKADDEN, ARPS, SLATE, 6 MEAGHER & FLOM LLP 7 On the interested parties in the subject action by placing a true copy of the document(s) 8 listed above in a sealed envelope with postage thereon fully prepaid, in the United States mail at San Diego, California addressed as set forth below. 9 Marc J. Fagel James E. Lyons 10 Susan F. La Marca Skadden Arps Slate Meagher & Flom LLP Mark P. Fickes Four Embarcadero Center, Suite 3800 11 Elena Ro San Francisco, California 94111 12 jlyons@skadden.com Securities and Exchange Commission 44 Montgomery Street, Suite 2600 13 San Francisco, CA 94101 fagelm@sec.gov Elliot R. Peters 14 lamarcas@sec.gov Stuart L. Gasner 15 fickesm@sec.gov Keker & Van Nest LLP pendreyi@sec.gov 710 Sansome Street 16 roe@sec.gov San Francisco, CA 94111 epeters@kvn.com 17 sgasner@kvn.com 18 19 I declare under penalty of perjury under the laws of the United States of America that the above is true and correct. 20 Executed on November 12, 2007, at San Diego, California. 21 22 23

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DLA PIPER US LLP SAN DIEGO